Dependence of the Gold Nanorod Aspect Ratio on the Nature of the Directing Surfactant in Aqueous Solution

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A three-step seed-mediated growth method was used to make gold nanoparticles. Different surfactants, alkyltrimethylammonium bromides (CnTAB, n = 10, 12, 14, 16, and 18) and cetylpyridinium chloride (C16PC), were chosen as stabilizers. In general, it was found that as the length of the surfactant chain increased, the resulting gold nanoparticles' aspect ratio increased: the aspect ratio was 1 (for C10TAB), 5 ± 2 (C12TAB), 17 ± 3 (C14TAB), and 23 ± 4 (C16TAB). The plasmon absorption maxima for the gold nanoparticles varied as a function of the shape, from 520 nm (spheres) to beyond 2000 nm (high aspect ratio nanorods). We propose that the surfactant binds as a bilayer to the growing nanoparticle and assists in nanoparticle elongation via a “zipping” mechanism.

Introduction

There is a great deal of current interest in the synthesis of anisotropic inorganic nanomaterials, especially nanorods and nanowires, for potential applications in information technology, optoelectronics, and sensing. Our group5–13 and others14–18 have been active in developing solution-based routes to these materials. In particular, our group has developed a seed-mediated growth approach to making gold and silver nanorods in aqueous solution, with the surfactant cetyltrimethylammonium bromide (C16TAB) as the directing agent5–13 to produce rods over spheres. The growth of gold nanorods in our case appears to be governed by preferential adsorption of C16TAB to different crystal faces during the growth, rather than by C16TAB functioning as a soft micellar template. Other workers have shown that C16TAB adsorbs to gold nanorods in a bilayer fashion, with the trimethylammonium headgroup of the first monolayer of C16TAB facing the gold surface. In our proposed growth mechanism, we envision that the C16TAB headgroup would bind better to the (100) faces of gold that exist along the length of the pentahedrally twinned rods compared to the (111) gold faces that are at the ends of the rods, as a result of epitaxy.

In this paper, we examine the dependence of the gold nanorod product shape as the nature of the surfactant is varied. We examined C16TAB analogues in which the length of the hydrocarbon tail was varied, keeping the headgroup and counterion constant, and also an example in which the tail length was kept the same, but the headgroup and counterion both varied. We find, surprisingly, that the length of the surfactant tail is critical for producing gold nanorods. We propose a “zipping” mechanism to account for our results, in which the van der Waals stabilization of the surfactant bilayer on the gold surface due to interchain packing assists the underlying nanorod formation.

Experimental Section

Materials. Hydrogen tetrachloroaurate(III) trihydrate (HAuCl4·3H2O, 99.9+%), trisodium citrate dihydrate (99%), sodium borohydride (NaBH4, 99%), and L-ascorbic acid (99+%) were all purchased from Aldrich. Decyltrimethylammonium bromide (C16TAB, 98%) was obtained from Fluka, while the remaining surfactants dodecyltrimethylammonium bromide (C12TAB, 99%), myristyltrimethylammonium bromide (C14TAB, 99%), C16TAB (99%), octadecyltrimethylammonium bromide (C18TAB), and cetylpyridinium chloride monohydrate (C16PC, 98%) were obtained from Aldrich (Table 1). All chemicals were used as received. The C16TAB surfactants for n = 10, 12, and 14 were freely soluble in water at room temperature. To achieve the required concentrations of C16TAB, the C16TAB solution (if precipitate was observed) was heated to 50 °C, allowed to cool...
to room temperature, and used within 6 h. Stock solutions of sodium borohydride and L-ascorbic acid in water were freshly prepared every time; other stock solutions are stable for up to 6 months. Purified deionized water (Continental Water Systems) was used for all reactions. All glassware was washed sequentially with aqua regia, tap water, and purified deionized water.

**Instrumentation.** Electronic absorption spectra were acquired with a Cary 500 UV-visible-near-IR spectrophotometer. Transmission electron microscopy (TEM) was performed with a Hitachi H-8000 transmission electron microscope with an accelerating voltage of 200 kV. TEM grids were prepared by placing 1 µL of the gold nanoparticle solution on a carbon-coated copper grid and allowing the solvent to evaporate at room temperature.

**Preparation of the Gold Seed.** The procedure that yields spherical gold nanoparticles 4 nm in diameter has been described by us. Briefly, a 20-mL aqueous solution containing 18.4 mL of deionized water, 0.5 mL of an aqueous 0.01 M HAuCl$_4$·3H$_2$O solution, and 0.5 mL of an aqueous 0.01 M trisodium citrate solution was prepared in a conical flask. Next, 0.6 mL of an ice-cold aqueous 0.1 M NaBH$_4$ solution was added all at once with stirring. The solution turned pink immediately after the addition of the borohydride, indicating gold nanoparticle formation. This seed solution was used between 3 and 10 h after its preparation; 3 h is needed for any remaining borohydride to degrade by reaction with water, but longer than 10 h results in extensive aggregation of the gold particles, observable as a thin film at the water surface. In general, the seed solution was used 3 h after its preparation.

**Preparation of Gold Nanoparticles of Various Aspect Ratios.** A three-step seeding method developed by us was used. Three 15-mL plastic centrifuge tubes were labeled A, B, and C. Into each tube was placed 9 mL of "growth" solution; the growth solution consisted of $2.5 \times 10^{-4}$ M HAuCl$_4$·3H$_2$O and 0.1 M surfactant in water (except for C$_{18}$TAB, which is only soluble to the extent of 0.005 M). Upon mixing of the gold(III) salt and surfactant, the solution changed color from light yellow to darker yellow, which can be taken as an indication of the formation of

<table>
<thead>
<tr>
<th>surfactant name</th>
<th>structure</th>
<th>abbreviation</th>
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<tbody>
<tr>
<td>decyltrimethylammonium bromide</td>
<td>$\text{CH}_3(\text{CH}<em>2)</em>{10}\text{N(CH}_3)_3^+$ $\text{Br}^-$</td>
<td>C$_{10}$TAB</td>
</tr>
<tr>
<td>dodecyltrimethylammonium bromide</td>
<td>$\text{CH}_3(\text{CH}<em>2)</em>{12}\text{N(CH}_3)_3^+$ $\text{Br}^-$</td>
<td>C$_{12}$TAB</td>
</tr>
<tr>
<td>myristyltrimethylammonium bromide</td>
<td>$\text{CH}_3(\text{CH}<em>2)</em>{14}\text{N(CH}_3)_3^+$ $\text{Br}^-$</td>
<td>C$_{14}$TAB</td>
</tr>
<tr>
<td>octadecyltrimethylammomium bromide</td>
<td>$\text{CH}_3(\text{CH}<em>2)</em>{18}\text{N(CH}_3)_3^+$ $\text{Br}^-$</td>
<td>C$_{18}$TAB</td>
</tr>
<tr>
<td>cetyltrimethylammonium chloride monohydrate</td>
<td>$\text{CH}_3(\text{CH}<em>2)</em>{16}(\text{C}_5\text{H}_5\text{N})^+ \cdot \text{Cl}^- \cdot \text{H}_2\text{O}$</td>
<td>C$_{16}$TAB</td>
</tr>
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* The solubility of the surfactants in this table is 0.1 M in water, with the exception of C$_{18}$TAB, which is 0.005 M.

**Figure 1.** TEM micrographs of gold nanoparticles prepared in the presence of (a) C$_{10}$TAB, (b) C$_{12}$TAB, (c) C$_{14}$TAB, and (d) C$_{16}$TAB according to the procedures described in the Experimental Section, after purification to remove the spheres. Scale bars are 500 nm (b and d) and 100 nm (a and c).
the complex ion $\text{C}_n\text{TAB}^+\text{AuCl}_4^-$. Then, to each tube containing 9 mL of the growth solution was added 0.05 mL of a freshly prepared aqueous 0.1 M solution of ascorbic acid. The tubes were inverted 3 times to mix, and the resulting solution changed color from yellow to colorless, suggesting reduction of $\text{Au(III)}$ to the $\text{Au(I)}$ species. Next, 1.0 mL of the gold seed solution prepared in the previous section was added to tube A, and the tube was inverted 10 times to mix. After 30 s, 1.0 mL of the tube A solution was withdrawn and added to tube B. Tube B was inverted 10 times to mix. After 60 s, 1.0 mL of the tube B solution was withdrawn and added to tube C. Tube C was inverted 10 times to mix. Within 10 min, the color of the solution in tube C turned from colorless to various shades of pink-purple, depending on aspect ratio of the resulting gold nanoparticles. The solution in tube C was allowed to sit overnight to ensure full growth of the gold nanorods. The remaining solution in tube A turned red within 3 min, while the remaining solution in tube B turned red within 5 min, indicating metallic gold nanoparticles in each.

**Shape Separation.** Gold nanoparticles were concentrated and separated from the small nanospheres and surfactant by centrifugation. A total of 10 mL of the nanoparticle solution from tube C was centrifuged at 2000 rpm for 6 min. The red supernatant, containing mostly small gold nanospheres (diameters less than 20 nm), was drawn off with a syringe. The pink solid, containing nanorods and some platelets, was redispersed in 1 mL of deionized water and centrifuged at 2000 rpm for 6 min, 3 times, until most of the surfactant had been removed (no bubbles were observed upon shaking the tube).

**Results and Discussion**

Previous work by Nikoobakht and El-Sayed has shown that $\text{C}_{16}\text{TAB}$ adsorbs in bilayers on gold nanorods, with the trimethylammonium headgroup of one monolayer facing the gold surface and the other facing the solvent to maintain water solubility. High-resolution TEM and electron diffraction data on our gold nanorods showed that the $\{111\}$ face of gold is the surface at the ends of the nanorods (which exist as pentahedral twins), while the $\{100\}$ face is the surface plane on the long axis of the nanorods. We postulated that the large headgroup size of the $\text{C}_{16}\text{TAB}$ surfactant preferred the larger gold-atom spacing on the sides rather than at the ends of the nanorods; the $\{111\}$ face of gold is the most close-packed and stable face. In principle, then, many simple trimethylammonium salts could be used to stabilize gold nanorod formation in water. In this paper, we examined a family of surfactants, which contain the same headgroup and counterion as $\text{C}_{16}\text{TAB}$ but different alkyl chain lengths, and also we examined one surfactant that retained the 16-carbon chain but had a different headgroup and counterion (Table 1) as directing agents for the growth of gold nanorods according to the protocol established for $\text{C}_{16}\text{TAB}$.

**Figure 1.** TEM images of gold nanoparticles made according to the three-step seeding growth method described in the Experimental Section, after the separation of the spheres, as a function of the different surfactant chain lengths for $\text{C}_n\text{TAB}$ ($n = 10, 12, 14, 16$). We note that,
for all surfactants, ~80% of the nanoparticle products are spheres, with no clear trend following the surfactant chain length, although it is easier to separate rods from spheres for higher aspect ratio rods. Clearly, the trimethylam-
monium headgroup alone is not sufficient to direct nanoparticle growth into rods, or all of the surfactants would have yielded nanorods. The general trend is that, as the length of the alkyl chain is increased, higher-aspect-ratio nanorods can be obtained. Figure 2 shows histograms of the nanoparticle aspect ratio that correspond to the TEM images of Figure 1.

For C$_{10}$TAB, 96% of the gold nanoparticles were spherical (aspect ratio 1) and only 4% were short rods (aspect ratio 2–3), even after centrifugation to remove nominal nanospheres. No higher aspect ratio nanorods were found in samples in which ~400 nanorods at a minimum were counted. For C$_{12}$TAB, after separation from the spheres, 92% of the nanoparticles were low-aspect-ratio (5 ± 2) nanorods, with 8% of the nanospheres remaining (it becomes easier to separate the nanorods from the spheres the longer the nanorods are). For C$_{14}$TAB, the aspect ratio of the resulting gold nanorods after separation from the spheres was 17 ± 3, with an additional 22% of the particles counted having lower aspect ratios (5–13). For C$_{16}$TAB, the surfactant we had used previously to control the gold nanorod aspect ratio up to ~20, the data are consistent with what we had before for the longest rods possible with an aspect ratio of 23 ± 4. C$_{12}$TAB was also used as a directing agent, but because its solubility in water is only 5% of the other surfactants, it is problematic to directly compare the results. In the case of C$_{16}$TAB, a few nanorods of medium (~10) aspect ratio were obtained, but other irregular shapes dominated the nanoparticle size distribution. In the case of C$_{6}$TAB for n = 10–16, the nanoparticle width was constant (25 ± 2 nm) and the length of the longest nanorods increased with surfactant alkyl chain length (Figure 3). We note that another paper in the literature reports the same general phenomenon that we observe: gold nanoparticles made photochemically in the presence of C$_n$TA$^+$Cl$^-$ show higher aspect ratio materials for larger n.

Electronic absorption spectra were acquired for gold nanoparticles of varying aspect ratios (Figure 4). For gold nanospheres, as in the case for C$_{10}$TAB, the plasmon band appears at 528 nm, as expected. Higher aspect ratio nanoparticles show both transverse and longitudinal plasmon bands and the longitudinal plasmon band moves into the near-IR region the longer the nanorods are. In our samples, the transverse plasmon band, corresponding to the absorption/scattering of light along the short axis of the nanospheres, was still ~520 nm, slightly blue-shifting for increasing aspect ratio in accord with others; the longitudinal plasmon band, corresponding to the absorption/scattering of light along the long axis of the nanoparticles, moved from 820 nm (for aspect ratio 5 ± 2 gold nanorods made with C$_{12}$TAB) to ~1800–2200 nm (broad, for aspect ratio 17 ± 3 gold nanorods made with C$_{14}$TAB) and beyond 2200 nm for aspect ratio 23 ± 4 gold nanorods made with C$_{16}$TAB. These samples were extensively purified to yield “pure nanorod” spectra with no contribution from the spheres; during the course of the separation, however, many rods are lost, and so the spectra for the high aspect ratio rods are for very dilute solutions that slowly precipitate out over time.

We also examined the surfactant cetylpyridinium chloride, C$_{16}$PC, as a directing agent for gold nanorod growth. In this molecule, the cetylpyridinium headgroup is larger and more anisotropic in shape compared to the alkyltrimethylammonium headgroup of the other surfactants. The counterion is also different. The synthetic procedure to make gold nanoparticles using C$_{16}$PC produced no nanorods whatsoever (Figure 5). Instead, in addition to gold nanospheres, triangles, pentagons, and hexagons were observed, in roughly equal proportions and of similar widths, likely corresponding in three dimensions to tetrahedra, dodecahedra, and icosahedra of gold.

What is the mechanism of growth control in these nanomaterial syntheses? Our previous work with C$_{16}$TAB and gold nanorods suggested that, during nanorod growth, preferential adsorption of C$_{16}$TAB to the different crystal faces of gold led to the inhibition of growth along the long axis of the rods and, therefore, enhanced growth at the
ends of the nanorods.\textsuperscript{13,21} We postulated at that time that C\textsubscript{16}TAB bilayer formation would also encourage this anisotropic growth\textsuperscript{13,19} in that C\textsubscript{16}TAB molecules might have a preference for the side face of the rods via their headgroups and their tails would have a preference to interact with each other via van der Waals interactions. These ideas are in general born out by the experiments presented here: one would predict that the longer the tail length, the more stable the bilayer. What perhaps is surprising is that the tail–tail interactions we postulate seem to be more important than the headgroup interactions with the gold surface (because C\textsubscript{10}TAB yields practically no rods of even a modest aspect ratio).

\(\text{C}_n\text{TAB}\) for \(n = 10, 12, 14,\) and \(16\) are all well-known to form micelles in aqueous solution.\textsuperscript{22} The critical micelle concentration decreases from mM for \(n = 10\) to \(10^\mu M\) for \(n = 16\) logarithmically with the carbon number in the tail.\textsuperscript{22} Because our synthetic conditions are \(0.1 M\) surfactant, but with many more ions in solution, it is not clear if the surfactants are forming micelles to the same extent that they are in plain water. Nonetheless, using data from the micelle literature, we can estimate the degree to which the hydrocarbon chain stabilizes bilayer formation. The hydrocarbon contribution to the standard free energy of micellization for C\textsubscript{n}TAB in water, following a mass-action model that uses empirical data, has been estimated to be\textsuperscript{22}

\[
\Delta G^\circ = 2.303(2 - z/j)RT(0.1128 - 0.3074n)
\]

where \(n\) is the number of carbon atoms in the surfactant tail, \(z\) is the charge on the micelle, \(j\) is the aggregation number of the micelle, \(j - z\) then corresponds to the number of anions strongly bound to the micelle, \(R\) is the gas constant, and \(T\) is the temperature. If we assume for the sake of estimation that \(z\) and \(j\) do not change upon bilayer formation on gold nanorods for the different surfactants and that the \(z/j\) ratio is significantly smaller than 1 because of strongly bound counterions, then we estimate that \(\Delta G^\circ_{n=10} = -29.6 \text{ kJ/mol}, \Delta G^\circ_{n=12} = -35.8 \text{ kJ/mol}, \Delta G^\circ_{n=14} = -41.9 \text{ kJ/mol},\) and \(\Delta G^\circ_{n=16} = -48.1 \text{ kJ/mol}.

The differences between the surfactants, then, are \(\approx 6 \text{ kJ/mol}\) per two methylene units, which is significant compared to \(RT\) (\(\approx 2.5 \text{ kJ/mol}\)). Thus, the dynamic formation of a bilayer of the surfactant on gold surfaces in a “zipping” manner via the hydrocarbon tails may indeed provide enough stabilization during gold nanorod growth to promote the formation of longer nanorods for more stable bilayers (Figure 6). Clearly, however, a long hydrocarbon chain in the directing surfactant is not sufficient to guarantee gold nanorods (cf. the case of C\textsubscript{16}PC). We can imagine the nanorod growth mechanism, then, to include the trimethylammonium surfactant headgroup providing preferential binding to different gold faces, with simultaneous van der Waals interactions of adjacent surfactant tails “zipping” up the long axis of the gold nanorod.

**Conclusions**

We demonstrated in previous work that gold nanorods of a controlled aspect ratio can be made in aqueous solution using C\textsubscript{16}TAB as the directing agent.\textsuperscript{10} In this paper, we examined C\textsubscript{n}TAB analogues in which the length of the hydrocarbon tail was varied, keeping the headgroup and counterion constant, and also an example in which the tail length was kept the same but the headgroup and counterion both varied. We found that the length of the surfactant tail is critical for producing gold nanorods, with longer chain lengths supporting longer gold nanorods. We propose a “zipping” mechanism to account for our results in which the van der Waals stabilization of the surfactant bilayer on the gold surface due to interchain packing assists the underlying nanorod formation.

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